

09/25/01



11054 U.S. PTO

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Docket No.: M-11978 US

September 25, 2001

Box Patent Application
Commissioner For Patents
Washington, D. C. 20231
 11054 U.S. PTO
09/25/01
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Enclosed herewith for filing is a patent application, as follows:

 Inventor(s): David Gok Louie, Jai-Jen Yu; Deming Wu
 Title: System And Method For Configuring And Viewing Audit Trails In An Information Network

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| <u>X</u> | Return Receipt Postcard |
| <u>X</u> | This Transmittal Letter (in duplicate) |
| <u>19</u> | page(s) Specification (not including claims) |
| <u>5</u> | page(s) Claims |
| <u>1</u> | page Abstract |
| <u>11</u> | Sheet(s) of Drawings |
| <u>2</u> | page(s) Declaration For Patent Application and Power of Attorney |
| <u>1</u> | page(s) Recordation Form Cover Sheet (in duplicate) |
| <u>1</u> | page(s) Assignment |
| <u>1</u> | page Request And Certification Under 35 U.S.C. 122(b)(2)(B)(I) (NonPublication Request) |

CLAIMS AS FILED

| For | Number | | | Number | | Rate | | Basic Fee |
|--------------------------|--|-----|---|--------------|---|----------|---|-----------|
| | <u>Filed</u> | | | <u>Extra</u> | | | | |
| Total Claims | 37 | -20 | = | 17 | x | \$ 18.00 | = | \$ 306.00 |
| Independent Claims | 5 | -3 | = | 2 | x | \$80 | = | \$ 160.00 |
| <input type="checkbox"/> | Fee of _____ for the first filing of one or more multiple dependent claims per application | | | | | | | \$ |
| <input type="checkbox"/> | Fee for Request for Extension of Time | | | | | | | \$ |

Please make the following charges to Deposit Account 19-2386:

- ☒ Total fee for filing the patent application in the amount of \$ 1,176.00
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 19-2386.

EXPRESS MAIL LABEL NO:

EL922456732US

Respectfully submitted,

 Mary Jo Bertani
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EXPRESS MAIL LABEL NO:
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MODIFIED PTO/SB/35 (11-00)

| | | |
|--|--------------------|---|
| REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i) | Inventors | Louie, David Gok; Yu, Jai-Jein Wu, Deming; |
| | Title | System And Method For Configuring And Viewing Audit Trails In An Information Network |
| | Atty Docket Number | M-11978 US |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 25, 2001

Date



Mary Jo Bertain
Attorney for Applicants
Reg. No.: 42,321

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

7 CFR 1.213(a) provides for a request that an application not be published under 35 U.S.C. 122(b). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. SEND TO: Commissioner for Patents, Washington, DC 20251